# Pre-emptive Resource-Constrained Multimode Project Scheduling Using Genetic Algorithm: A Dynamic Forward Approach

Aidin Delgoshaei<sup>(D)</sup>, Mohd Khairol Mohd Ariffin<sup>(D)</sup>, B.T. Hang Tuah Baharudin<sup>(D)</sup>

University Putra Malaysia (Malaysia)

delgoshaei.aidin@gmail.com, khairol@upm.edu.my, hangtuah@upm.edu.my

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# Abstract:

**Purpose:** The issue resource over-allocating is a big concern for project engineers in the process of scheduling project activities. Resource over-allocating drawback is frequently seen after scheduling of a project in practice which causes a schedule to be useless. Modifying an over-allocated schedule is very complicated and needs a lot of efforts and time. In this paper, a new and fast tracking method is proposed to schedule large scale projects which can help project engineers to schedule the project rapidly and with more confidence.

**Design/methodology/approach:** In this article, a forward approach for maximizing net present value (NPV) in multi-mode resource constrained project scheduling problem while assuming discounted positive cash flows (MRCPSP-DCF) is proposed. The progress payment method is used and all resources are considered as pre-emptible. The proposed approach maximizes NPV using unscheduled resources through resource calendar in forward mode. For this purpose, a Genetic Algorithm is applied to solve.

*Findings:* The findings show that the proposed method is an effective way to maximize NPV in MRCPSP-DCF problems while activity splitting is allowed. The proposed algorithm is very fast and can schedule experimental cases with 1000 variables and 100 resources in few seconds. The results are then compared with branch and bound method and simulated annealing algorithm and it is found the proposed genetic algorithm can provide results with better quality. Then algorithm is then applied for scheduling a hospital in practice.

**Originality/value:** The method can be used alone or as a macro in Microsoft Office Project<sup>®</sup> Software to schedule MRCPSP-DCF problems or to modify resource over-allocated activities after scheduling a project. This can help project engineers to schedule project activities rapidly with more accuracy in practice.

*Keywords:* multimode project scheduling, genetic algorithm, pre-emptive resource-constrained, discounted cash flows

### 1. Introduction

Classic Resource-constrained project scheduling problem (RCPSP), which is dealt with scheduling the project activities considering time and resource constraints, is generalized for minimizing completion time (or makespan) of the project Kelley (1963). Normally, in RCPSP, activities are scheduled considering two main types of constraints:

- The executive priority relations between activities which are expressed by a relation matrix
- The availability resources level for executing activities

Multi-mode resource constraint project scheduling problems (MRCPSP) are distinctive resourceconstraint problems where each activity can be carried out via different modes (regarding to technologies or material). As consequence, the execution period (activity duration), resource requirement level and even the cash flow may be vary form a mode to another. Kolisch and Drexl (1997) proved that the MPRCPSP problem is a NP-hard problem.

Traditionally, classic RCPSP models were developed for minimizing makespan (Talbot, 1982). But, during last 2 decades, scientists have developed more RCPSP problems considering varied objectives. Mainly, authors developed RCPSP while 4 main optimization objectives are taken into consideration:

# 1.1. Makespan ( $C_{max}$ ) minimization

Minimizing makespan where an attempt is done to minimize the total elapsed time among time horizon of a project. In this manner, a time dependent cost structure for minimizing completion time by using extra resources which cause faster execution of activities was developed by Achuthan and Hardjawidjaja (2001). Effects of the serial and parallel scheduling schemes while using multi- and single-project approaches were analysed later (Lova & Tormos, 2001). It was found that using parallel scheduling

schemes and multi-project approach provide a base for managers to minimize mean project delay or multi-project duration increasing. Alcaraz and Maroto (2001) developed a GA for solving single mode RSPCP. They showed that GA can efficiently solve RCPSPs in an acceptable computation time. In the same year, GA was also employed by Hartmann (2001) for minimizing  $C_{max}$  in MRCPSP and then using a local search extension motor for the proposed GA, results were more improved. Kim, Yun, Yoon, Gen and Yamazaki (2005) proposed a hybrid of GA with fuzzy logic controller (FLC-HGA) to solve the resource-constrained multiple project scheduling problem (RC-MPSP). The proposed approach worked based on using genetic operators with fuzzy logic controller (FLC) through initializing the revised serial method with precedence and resources constraints. Using fuzzy concepts in minimizing  $C_{max}$  was carried out by Ke and Liu (2010) in a fuzzy-based GA. Delgoshaei, Ariffin, Baharudin and Leman (2015) proposed a backward method for minimizing makespan in the resource constrained project scheduling problem. For this purpose they used a hybrid greedy and genetic algorithm. The novelty of their research is using remained resources through the calendar of the project to minimize the completion time of the project.

#### 1.2. Optimizing Robustness of Solutions

For this purpose normally a trade-off between quality-robustness and solution-robustness in RCPSP will be determined while safety times in project scheduling were taken into consideration (Van de Vonder, Demeulemeester, Herroelen & Leus, 2005). Afterward, Van de Vonder, Demeulemeester, Herroelen and Leus (2006) focused on resource constraint impacts in determining trade-off values between quality-robustness and solution-robustness in RCPSP. Lee and Lei (2001) presented 2 versions of resource-constraint multi project scheduling problem were developed in a way that in first version, the activity durations are considered fixed but in second one, a project duration function is used to decrease the amount of resource allocating. Afterward, an attempt has been done for minimizing  $C_{max}$ , as well as maximizing solution robustness by increasing float time maximization (Abbasi, Shadrokh & Arkat, 2006). A two-stage algorithm for robust RCPSP was used while minimize  $C_{max}$ , of the project as an acceptance threshold for second stage was carried out and then, in next stage, a set of 12 alternative robust predictive indicators was employed to maximize robustness of the project (Chtourou & Haouari, 2008).

RCPSPs can be considered as a NP-hard problem while more than one none-renewable resource is taken into account (Kolisch, 1996). There are also some other parameters of project complexity that should be noticed as other managerial factors (Castejón-Limas, Ordieres-Meré, González-Marcos & González-Castro, 2011). Traditionally, many problems were solved using branch-and-bound algorithm (Sprecher, 2000), but heuristics and metaheuristics were then found as good ways of solving RCPSCPs.

Multi-mode resource constraint project scheduling problems (MRCPSP) are distinctive resource-constraint problems where each activity can be carried out via different modes (regarding to technologies or material etc.). As consequence, the execution period (activity duration), resource requirement level and even the cash flow may be vary form a mode to another. The MPRCPSP problem was initially developed for minimizing the project makespan and was proved to be a NP-hard problem (Kolisch & Drexl, 1997). Weglarz, Józefowska, Mika and Waligóra (2011) provided a wide research on literature of the multimode project scheduling. One of the most important issues in MRCPSP studies is financial issues which can be considered in two ways of positive or negative cash flows. Positive cash flows are supposed to earn as scheduled milestones. Despite, negative cash flows are referred to those expenses which must be spent for making positive cash flows (as human resource salary, equipment and machinery purchasing and maintenance costs, raw material providing etc.). In such models, cash flow can be influenced by activity due date, duration, resource requirements and also payment method which will effect on activity execution mode as well. GA was then used for solving a multi-criteria project portfolio selection problem when project interactions (in terms of multiple selection criteria) and preference information (in terms of the criteria importance) were considered (Yu, Wang, Wen & Lai, 2012).

Kolisch and Drexl (1997) found that MRCPSP is NP-hard if more than one resource is considered. To come up with such problem, many heuristics and metaheuristics approaches are applied so far. Yan, Jinsong, Xiaofeng and Ye (2009) applied some heuristics to solve project scheduling problem in order to provide a quick response structure while encountering with maritime disasters. Laslo (2010) presented an integrated method using simulation for resource planning and scheduling to minimize scheduling dependent expenses. Kim et al. (2005) proposed a hybrid GA and fuzzy logic controller (FLC-HGA) to solve the resource-constrained multiple project scheduling problem (RC-MPSP). Their objectives were minimizing total project time and total tardiness penalty. Ke and Liu (2010) used hybrid fuzzy set and GA to minimize total cost with completion time limits (see also Hartmann & Briskorn, 2010). Jarboui, Damak, Siarry and Rebai (2008) used particle swarm optimization (PSO) to show the effectiveness of PSO for solving MRCPSPs.

#### 1.3. Maximizing Profit of the Project

Maximizing profit of projects is considered as an important objective in financial studies of scheduling problems. Profit of the project can be considered with many different styles. In some studies profit is expressed as net present value of the project. The idea of maximizing NPV was first proposed by (Russell, 1970). The proposed model was nonlinear without taking limitations of resources. They assumed activity on art (AOA) to present network charts. Elmaghraby and Herroelen (1990) proposed an optimal-finder algorithm which includes resource constraints for maximizing NPV. Sung and Lim (1994)

proposed a two stage heuristic to maximize NPV of a RCPSP. They found that while difference between the due date and the minimum duration increases, the NPV gets more improved.

One of the most important issues in maximizing NPV is considering positive or negative cash flows during scheduling process. Positive cash flows are supposed to earn as scheduled milestones. Despite, negative cash flows are referred to those expenses which must be spent for making positive cash flows (as human resource salary, equipment and machinery purchase and maintenance costs and raw material providing). In such models, cash flow can be influenced by activity due date, duration, resource requirements and also payment method which will effect on determining activity execution mode as well.

Etgar, Shtub and LeBlanc (1997) showed that resources beyond time limit can have significant effect on makespan of project Meanwhile, De Reyck (1998) offered an algorithm based on which both positive and negative cash flows had been considered. A lower and upper bound were considered for each activities where coupled with limited resources. Icmeli, Erenguc and Zappe (1993) discussed that adding resources limitations caused turning model into a non-poly nominal model which cannot be solved easily by optimizing algorithms. Then, they considered discounted rate in the proposed a model a way that more cash flows will be earned in case of completing an activity in shorter period (RCPSPDC). Afterward, many researchers tried their utmost effort with the aim of solving the problem of maximizing NPV while discount rate is taken into consideration. Baroum and Patterson (1999) solved a RCPSPDC model with 50 variables where only positive cash flows were considered. Afterward, Icmeli and Erenguc (1994) used Tabu search (TS) algorithm in solving RCPSPDC problem. They set penalty for activities later than the due date. Yang, Talbot and Patterson (1993) developed statistical programming for solving RCPSPDC problems while positive cash flows were taken into consideration. Moreover, Zhu and Padman (1999) used TS for solving RCPSPDC problems. Mika, Waligóra and Weglarz (2005) presented a model with the aim of maximizing NPV of project with taking discounted rate and also both renewable and non-renewable resources. They used hybrid of SA and TS to solve the problems. During last decade, considering preemptive resource in scheduling problems have been more developed due to their impacts on making major delays through project lifecycle as well. Delgoshaei, Al-Mudhafar and Ariffin (2016) developed a new branch and bound based scheduling method for modifying resource over-allocated schedules. Laslo (2010) proposed a method for minimizing total cost of executing project activities. Delgoshaei, Ariffin, Baharudin and Leman (2014) used SA for maximizing NPV of the MRCPSPDC while discounted cash flows is taken into consideration. For this purpose a backward method is used to use remained resources through the resource calendar of a schedule.

During last decade, considering preemptive resource in scheduling problems have been more developed due to their impacts on making major delays through project lifecycle as well. Demeulemeester and Herroelen (1996) presented an optimal solution for RCPSP while they considered preemptive resources in their model. Buddhakulsomsiri and Kim (2006) discussed that considering pre-emption resources is vital while studying makespan of the project. Damay, Quilliot and Sanlaville (2007) applied linear programming algorithms for preemptive RCPSP studies while Ballestín, Valls and Quintanilla (2008) proposed heuristic for solving preemptive RCPSP. Seifi and Tavakkoli-Moghaddam (2008) evaluated four payment methods during maximizing NPV and minimizing holding cost of completed activities in a MRCPSP. Vanhoucke and Debels (2008) focused on impacts of variable activity durations under a fixed work content, possibility of allowing activity pre-emption and use of fast tracking in decreasing project makespan. Van Peteghem and Vanhoucke (2010) used GA to minimize makespan of MRCPSP while they considered preemptive resources which allow activity splitting through their research.

To the best knowledge of authors, the idea maximizing profit of manufacturing projects in MRCPSPs while activity split is allowed and preemptive resources are taken into consideration, is less developed. To overcome such shortcoming, a multi-mode resource constrained scheduling problem with positive cash flows (MRCPSP-PCF) is developed. Then impact of activity split on preventing resource over-allocation is examined. In this regard, a forward method is proposed which works based on positive cash flow and activity id priority rules to overcome the resource over-allocations that usually happen by scheduling resource constraint project. The reminder for rest of the research is summarized as: research methodology including mathematical model is presented in section 2. In continue the proposed solving algorithm is illustrated in section 3. Section 4 presented a number of experiments where the performance of the proposed method is explained in detail and to examine the performance of the proposed method in practice, a case study is solved and results are compared with the results of Microsoft Office Project (MSP) 2010.

#### 2. Materials and Methods

In this section, we present the proposed mathematical scheduling model with the aim of NPV in the condition of resource constraint. The model considers multi-mode of execution for each activity. Our aim is to survey how allocation of pre-emptive resources can change the activity scheduling and what is their impact to the net present value.

As summary we can mention the advantages of the proposed model as follows: Considering pre-emptive resources in maximizing net present value of project, considering multi-mode execution activities, considering activity splitting ability with respect of the predecessors, using useless amount of remained resources. Main constraints are the resource capacity, fixed time of the planning, only positive cash flow is considered, exact occurrence of all activities, and exact duration of each activity mode and exact cash flow for each activity mode.

#### 2.1. Problem Definitions

In order to classify models easily, in this section we define problems with a unique code as below:

$$n \ k \ th \ it \ g \ p \tag{1}$$

In this classification, n presents number of Activities, m is number of activity mode, k is number of resource types, th is time horizon of the project, it is number of time iterations of the program, g is number of generations is each time iterations during time horizon, p indicates population size.

#### 2.2. Assumptions

The properties of the developed model are shown as:

- 1. Model is presented in Activity on Node (AON) structure.
- 2. PP (Progress Payment) is selected as the payment model. Noted that in progress payment method, the contractor receives the project payments from the client at regular time intervals until the project is completed Ulusoy, Sivrikaya-Şerifoğlu and Şahin (2001).
- 3. Resources are considered preemptive.
- 4. Activities are allowed to be split through the planning horizon.
- 5. The preemptive resources have limited capacities.
- 6. In this study, positive cash flows are considered as weight factor of each activity.
- 7. Activities can be executed in different modes. While a mode selected to an activity, the same mode must be used during executing of the activity.
- 8. Activities are allowed to move only in their free-float time.
- 9. All improving movements will carry out in forward mode.

#### 2.3. Subscript

Subscripts used in the model are considered as follows:

i = number of activities which is a 1 × *n* matrix ([1 .. N]<sub>1×n</sub>)

- k = number of resource types which is a 1  $\times$  *m* matrix ([1 .. K]<sub>1×k</sub>)
- t = available time horizon for production (t = 1, 2 ... T)
- m = number of modes of performance ([1 .. M]<sub>1×m</sub>)

# 2.4. Parameters

The list of parameters and notations is as follows:

Resource\_Capacity: illustrates available resource in sub periods:

$$[RC_1 \quad \dots \quad RC_k]_{1*k} \tag{2}$$

As result, number of in-process activities that queued ina waiting list to be served by a preemptive resource can be expressed using below formula:

$$RC_{k} = R_{k} - \sum_{i=1}^{n} r_{(i,k)} * y_{(i,m,t)} \quad ; \forall \ (k \in K) \& \ (t \in TH)$$
(3)

Activity\_time: shows duration of each activity considering different execution modes.

$$\begin{bmatrix} 11 & \cdots & 1n \\ \vdots & \ddots & \vdots \\ m1 & \cdots & mn \end{bmatrix}_{m*n}$$
(4)

Activity\_sequence matrix is used in mathematical programming to show precedence relations between activities.

$$\begin{bmatrix} 11 & \cdots & 1n \\ \vdots & \ddots & \vdots \\ n1 & \cdots & in \end{bmatrix}_{n*n}$$
(5)

(6)

CF(i,m) = positive cash flow of activity *i* while it performs in mode *m* 

r(i,k) = usage amount of resource type k for activity I

R(k) = available level of resource type k

D(i,m) = duration of activity *i* while it performs in mode *m* 

TH = time horizon of the projects

 $\alpha$  = discounted rate

# 2.5. Decision Variables

$$y(i, m, t) = \begin{cases} 1 & \text{if activity } i \text{ performs on mode } m \text{ during sub period } t \\ 0 & \text{otherwise} \end{cases}$$

 $ES_i$  = Early start of activity *i* 

 $EF_i$  = Early finish of activity *i* 

# 2.6. Mathematical Model

As mentioned in the previous parts, studying an MRCPSP problem is the major objective of this paper. We supposed to have *n* activities on an AON network. Hence, Mathematical model is now written as follows:

$$Max: \sum_{t=1}^{TH} \sum_{i=1}^{n} \sum_{m=1}^{M} y_{(i,m,t)} \cdot CF_{(i,m,t)} \cdot e^{(\alpha/t)}$$
(7)

S.T:

$$ES_{i} = \min_{t=1:TH} \left( \left\{ t. \left( y_{(i,m,t)} - y_{(i,m,t-1)} \right) \middle| y_{(i,m,t-1)} = 0 \right\} \right) \quad \forall \ i = 1, \dots, n$$
(8)

$$ES_i > \max_{t=1:TH} \{ t. y_{(j,m,t)} | y_{(j,m,t)} = 1 \}; \forall (i,j) \in P_i$$
(9)

$$ES_1 = 1 \tag{10}$$

$$ES_j \ge ES_i + D_j + LAG_{ij} \forall \left( (i,j) \in P_i \middle| FS_{i,j} > 0 \right)$$
(11)

$$\max_{t=1:TH} \{t. y_{(n,m,t)} | y_{(n,m,t)} = 1\} \le TH$$
(12)

$$\sum_{t=1}^{TH} y_{i,m,t} = d_{i,m}; \ \forall \ i = 1, \dots, n \ \& \ m = 1, \dots, M$$
(13)

$$\sum_{m=1}^{M} y_{i,m,t} = 1; \ \forall \ i = 1, ..., n \ \& \ t = 1, ..., TH$$
(14)

$$\sum_{i=1}^{n} \sum_{m=1}^{M} r_{i,k} \le R_k \quad ; \quad t = 1, \dots, T \& k = 1, \dots, K$$
(15)

$$ES_i = integer \ge 0 \tag{16}$$

$$y_{i,m,t} = bin \tag{17}$$

In the proposed model, maximizing profit of a multi-mode project by considering renewable resources is considered as the main objective. The objective function is written in a way that it can easily calculate NPV of the project in every time slots using the progress payment method. For example, suppose an activity (let's say A) is supposed to be scheduled. Figure 1 shows 3 different conditions of scheduling an activity while  $\alpha$  is considered 0.05. As seen while the activity is scheduled earlier, more NPV is achieved than those conditions that it is scheduled later or being split for any reason.



Figure 1. Calculating NPV in 3 execution alternatives of an activity

First constraint in this model is defined for determining early start of activities, which guarantees the solving algorithm to stay feasible during searching process. Using the term  $\min_{i=1:TH}(\{t.(y_{(i,m,l)} - (y_{(i,m,l-1)}) | y_{(i,m,l-1)} = 0 \}$  helps identifying the real early start of activities when they are taken apart by the solving algorithm to avoid encountering with resource over-allocation. The reason of using split ability for some activities will be explained in section 3.4. It is important to know that using standard definition of early start of activities (which is  $ES_i \ge ES_j + d_j$  if *j* is predecessor of *i*) is not appropriate for MRCPSPs while activity splitting is allowed since it causes wrong calculation. To explain more, suppose it is decided to calculate ES for activity *D* with and without activity splitting permission (Figure 2).



1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 Figure 2. Comparing different styles of calculating *ES* with and without activity splitting (left to right)

In the left Gantt of Figure 2, while splitting is not allowed,  $ES_D$  can be calculated correctly by using the mentioned formula ( $ES_D = ES_C + D_C$ ). But, as seen, calculating early start of activity D while activity splitting is allowed (right figure) is not 13 anymore since activity C is split two times in days 10 and 13 and cannot be finished earlier than the end of day 14. Consequently, activity D cannot be started sooner than

the day 15. Therefore, to prevent such error, a new formula is developed for calculating ES of each activity:

$$ES_{i} = \min_{t=1:TH} \left( \left\{ t. \left( y_{(i,m,t)} - y_{(i,m,t-1)} \right) \middle| y_{(i,m,t-1)} = 0 \right\} \right)$$
(18)

Second constraint ensures that activity will not be started before the early finish of its predecessors. Similar to the logic used for calculating early start of activities while activity splitting is allowed, early standard finish formula ( $EF_i = ES_i + d_i$ ) cannot be used here as there might be some none working days that happens by the solving algorithm to avoid resource over-allocating. Therefor a formula is developed for calculating the early finish of an activity which is able to consider the idle times among the lifecycle of an activity:

$$EF_{j} = \max_{t=1:TH} \{ t. y_{(j,m,t)} | y_{(j,m,t)} = 1 \}$$
(19)

The third constraint is developed to set a logic starting day for any project. The fourth set of constraints is used for those activities which are related to each other by finish to start (FS) relation. In this model the FS precedence is converted to the following mode to be applicable to employ in the model:

$$FS_{i,j} = \min_{t=1:TH} \left( \left\{ t. \left( y_{(i,m,t)} - y_{(i,m,t-1)} \right) \middle| y_{(i,m,t-1)} = 0 \right\} \right)$$
(20)

Since the model is considered a real time model which must be finished before a due date, the eighth constraint is set for ensuring that the early finish of the last activity will not exceeded than the due date. Due to considering splitting ability, the solving algorithm must be able to divide an activity to the smallest period slots (1 day) to schedule them throughout the calendar of the project to avoid resource over-allocation. It may cause passing the initial duration of activities in dynamic process of scheduling. To avoid this mistake, the ninth set of constraints are set which guarantee that the number of working days for each activity will not exceeded than the original duration of an activity (considering its execution mode). The tenth sets of constraints are set to keep a selected execution mode of an activity throughout its execution period. The eleventh sets of constraints are to avoid over-allocating the resources in every single period slot throughout the project. The last two sets of constraints are logic constraints which show the domain of the variables.

# 3. An Iterative Genetic Algorithm Procedure

In this section a new forward method is proposed for scheduling activities through planning horizon while maximum amount of available resources are restricted and activity splitting is allowed.

Elmaghraby and Herroelen (1990) have dealt with the demonstration of NP-hard in its RCPSP models. Zhou and Askin (1998) also reported that Resource-constrained project scheduling problems with cash flows (RCPSPCF) are complex and combinatorial optimization problems and should be solved by heuristics. As mentioned in above, if MRCPSP issues enjoy more than one resource, they will be considered strongly as part of NP-hard issues. Since nonlinear with exponential status is considered as target function of our desired model and with due observance to this fact that some of constraints enjoy nonlinear status like constraints of the first group, we can come to this conclusion that the proposed model is NP-hard.

There is also another reason for considering the mentioned model as NP-hard that is due to the number of the basic solutions that increases extremely while we increase the number of the variables. For example consider a simple model with 10 variables and 3 resources with 75 constraints that includes C = 85! / (10! 75!) = 3,129,162,672,636 solutions as basic feasible and basic infeasible solutions together. Therefore, if the number of the variables increases extremely, optimal solution algorithms obviously cannot able to find the Optimum Basic solution.

Consequently since MRCPSP are dynamic in their natures, it seems necessary to use self-improve algorithms such as Genetic Algorithms (GA), Simulated Annealing (SA) and Neural Networks as the problem cannot be solved by optimal solution algorithms. As mentioned during last two decades, genetic algorithm has been widely used to solve MRCPSP. Therefore, the research group decided to develop an efficient GA in this article to determine net present value of the project while resources are considered as pre-emptive.

In general, the main steps of our GA procedure are:

Step 1) Create the initial population.

- Step 2) Compute the fitness value of each individual in the population.
- Step 3) Select a set of individuals to undergo genetic operators.
- Step 4) Evaluate the individuals created by the genetic operators.
- Step 5) Apply a replacement strategy to form the new generation.
- Step 6) If the stopping criteria are met then stop, otherwise go to Step 3.

The main concept of the proposed GA method is inspired from Delgoshaei et al. (2015) as their research is similar to this research in terms of scheduling constraint resource activities. The following flowchart shows the mechanism of the proposed method (Figure 3):



Figure 3. Structure of the proposed GA to solve CMS model

The procedure starts by finding an initial feasible solution to the problem from an upper bound for each activity that meet the feasible priorities to each activity but not necessarily the maximum objective function value (or a set of activities that can make full scheduling). In this step we do not pay attention to the time horizon of the project. The upper bound for the cycle can be found from the below equation:

$$UB = \sum_{l=1}^{n} \max d_{i,m}; \text{ for all } (i,m) \text{ belongs to Product_sequence matrix}$$
(21)

#### 3.1. Population Size and Number of Generations

Generally metaheuristic algorithms quickly respond to small size or relaxed resource RCPSPs but while large scale problems are taken into account choosing appropriate population size for such algorithms plays essential rule to solve experiments. For this purpose a GA coding operator is developed which suggests the suitable, but not necessarily the best, population size according to the equation below:

$$\frac{Max(renewable resource demand_i)}{Number of Generations} ; \forall i$$
(22)

Equatión. (10) consists on the largest frequency of the resource demands. The genetic algorithm maintains a collection (population) of solutions in each generation until the end of the searching process.

Considering the complexity of experiments, number of generations is considered 20, 50 and 100 generations for small, medium and large scale experiments respectively.

#### 3.2. String Representation

The technique of GA requires a string representation scheme (chromosomes). The encoding of solutions in the proposed procedure is of type 'one-to-one' which means that each solution is represented exactly by one chromosome and the decoding of each chromosome results in exactly one solution for the problem. The chromosome is a string of length N where each element represents a Genetic operator of paired data of an activity priority based on activity priority list and machine position to which the corresponding task is assigned.

Figure 4 shows the solution string which is based on product sequencing:



Figure 4. An example of a chromosome and the corresponding balancing solution

#### 3.3. Selection Operator and Fitness Functions

The selection operator is applied to select parent chromosomes from the population. A Monte Carlo selection technique is applied. Individual's selection procedure operates as follows:

- *Possible feasible function operator:* The GA procedure works to find a feasible solution, that is, a solution with S operators. The procedure is restarted with an upper cycle time to bind the operator movement over feasible solutions.
- *Possible length-string function operator:* Since it was included a constraint that excludes solutions with more than one operator, all solutions in the search space will have the same number of operators.

The fitness Function operator is considered as the objective function of the proposed mathematical model which is represented in Equation 3.

# 3.4. Crossover Operator

The genetic algorithm maintains a collection or population of solutions for each activity set throughout the search.

The main genetic operator is the crossover, which has the role to combine pieces of information from different individuals in the population. Two parents (P1 and P2) are chosen from the tournament list and a crossover point (cp), from Priority matrix is selected.

The selection method is based on two rules respectively:

- *Weight* Rule: the gen will choose according to maximum weighted factor, here is cash flow, among parents' genes.
- Remained path: In this step if resource becomes over allocated, operator will find the much less important scheduled paths to make a split in the activities.
- Resource availability: if resource becomes over allocated, algorithm will find the next good gene (next activity) for allocation.

Note that split usually happens in more than one way network in a network diagram or when activity relations are start to start. If none of above happens, the mentioned place will leave blank. The proposed procedure, respecting to activity priorities, consists on scheduling more valuable activities sooner which cause gaining maximum net present value of the project, and filling the remained resources by other activities or even by replacing more weighted activities with current activities. GA will choose according to *Weight Rule, Remained path* and *Resource availability* sequentially, which determines the best activity string scheduling among the set of available tasks. In the other words, through child's chromosome string creation each of genes in string would be selected based on the maximum weighted factor among its parent's gens in their string. In this method, GA will support the idea of maximizing the net present value. In addition, if the place don't have enough resource to allocate, GA will find search through the before scheduled paths to find out whether there is any worth less path to make a delay on this path. In this manner GA utilizes the past information by simultaneously operating on a population of solutions. Figure 5 typically shows how algorithm chooses next machine to minimize the total cost of the project:

	$a_1m_1$											$a_1m_1$									
$p_1 =$	0	0	0	$a_{2}m_{3}$	$a_{2}m_{3}$	0	0	0	۰.	0	$p_2 =$	0	0	0	0	$a_{2}m_{3}$	$a_{2}m_{3}$	0	0	ъ.	0
	L 0	0	0	0	$a_3m_1$	$a_3m_1$	$a_3m_1$	0		0		LΟ	0	0	0	0	$a_3m_1$	$a_3m_1$	$a_3m_1$		0

 $c_1 = \begin{bmatrix} a_1m_1 & a_1m_1 & a_1m_1 & a_1m_1 & a_1m_1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & a_2m_3 & 0 & a_2m_3 & 0 & 0 & \ddots & 0 \\ 0 & 0 & 0 & 0 & 0 & a_3m_1 & a_3m_1 & a_3m_1 & 0 \end{bmatrix}$ 

Figure 5. Sample of Crossover Operator's Function

This heuristic also checks if the task to be assigned is over allocated to the machine capacity. In this manner the task will wait on the queue of the allocated machine or will allocate to another same type machine.

In this way, the suggested GA can quickly locate high performance regions in each step in extremely large and complex search spaces of product sequences in order to maximize total NPV of the project.

#### 3.5. Mutation Operator

The mutation operator is used to rearrange the structure of a chromosome which helps escaping from local optimum traps. In this Article, the swap mutation is used, which is selecting two chromosomes randomly and swapping their contents. The probability of mutation of a gene is based on statistical function and is a low probability in its nature as below:

$$Prob_{i} = \frac{CF(P_{i})}{CF(P_{i}) + CF(P')}; \forall (P_{1}, P_{2})$$

$$(24)$$

Which  $P_1$ ,  $P_2$  are chromosomes of random parent 1 and 2 and P' is new solution. The mutation rate is considered 0.1 as found in many researches in literature. This equation evaluated the objective function of the new population member. If the objective function of the new population is worse than its parents, there is still a small chance to consider it for further processing. Such idea helps escaping from local optimum traps.

# 3.6. Stopping Criterion

The program is terminated when at least one of these conditions happen:

- 1. The maximum number of generations is reached.
- 2. Activities are scheduled in a way that there are no remain resources during time horizon which means there is no improvement in current solutions.
- 3. Time Horizon of the project finishes.

It is important to consider the steady conditions of designed algorithm as it is dynamic in its nature. For example, if two activities, which scheduled simultaneously and over allocated through their scheduled period, were bonded by a common successor, the program would never meet steady condition since it got stock into a loop:

$$|ES(A) - ES(B)| < |D(A) - D(B)|$$
 (25)



\*ES=early start; \*\*LF=late finish; \*\*\*D=Duration

Figure 6. A graphical sample of unsteady condition of MRCSP

The Figure 6 shows that under mentioned condition, activity A and B will over allocate during the scheduling. This means that MRCSP system will stay in unsteady state or may come into transient state but it may never pass it.

#### 4. Results and Discussion

To examine and verify the impact of pre-emptive resources to net present value of a resource-constraint scheduling problem, 3 problems (in small, medium and large scales) will be illustrated in detail at first. Then a number of large scale experiments will be solved to examine the effectiveness of the proposed method. The problems will be solved using MATLAB R2011<sup>®</sup> which is installed on a Core i7 laptop that is supported by 8 Mb RAM. Each problem is allowed the maximum time based on upper bound introduced in Equation 15. Noted that the proposed model is Np-hard that cannot be solved within reasonable time optimally. Thus, we consider a feasible interval for the optimal objective function value (OFV). At such a point, the user may choose to interrupt the solver and go with the current best solution in the interest of saving on additional computation time.

#### 4.1. Experiment 1 (Medium Scale)

In this experiment, 15 activities are considered. Number of modes are 4 and number of the limited resources are 3. The network diagram of the project is shown by Figure 7. Using Figure 7, a binary matrix is drawn which can be entered to the Matlab program (Table 1). Other projects information including resource usage, priorities between activities and resource availability level are presented in Table 3 (example 7).



Figure 7. Network Diagram for experiment number 1

ID	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
7	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0
8	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0
9	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0
10	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
11	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0
12	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0
13	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0
14	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0
15	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0

Table 1. Priority Matirx for experiment number 1

This experiment is also solved in two modes of relaxed and limited resource constraints. Figure 8 and 9 show the proposed Gantt charts for relaxed and limited resource modes respectively. The calculated upper bound for this experiment is 90 working days. In this experiment also the limited resources cause the makespan of the project to be extended from 36 working days in the first mode to 42 working days in the second mode. The Gantt chart in Figure 8 shows that activities 7 and 10 are taken apart by the algorithm to increase the resource usage.



Figure 8. Gantt chart of the 4th experiment relaxed resource constraints





Figure 9. Gantt chart of the 4th experiment after using the proposed method

The cumulative resource usages throughout the project calendar for each of the resources are shown in Figures 10 to 15. As seen for each of the resources, the slope of resource usage graphs after using the proposed forward method is smoothed (Figures 11, 13 and 15). The S-curve of the graphs shows that the schedule is safe to be used.



Figure 10. Cumulative resource usage for resource 1 of experiment 1 (relaxed resource constraint)



Figure 11. Cumulative resource usage for resource 1 of experiment 1 (after using forward method)



Figure 12. Cumulative resource usage for resource 2 of experiment 1 (relaxed resource constraint)



Figure 14. Cumulative resource usage for resource 3 of experiment 1 (relaxed resource constraint)



Figure 13. Cumulative resource usage for resource 2 of experiment 1 (after using forward method)



Figure 15. Cumulative resource usage for resource 3 of experiment 1 (after using forward method)

The resource usage through the resource calendar is shown by Figures 16 to 21. The days 13, 20, 21 and 22 are reported as over-allocated working days where the number of available resources is insufficient to complete the activities that are scheduled in these days (Figure 16, 18 and 20). In order to modify the over-allocating in this case, the algorithm decided to take apart activity number 7 and 10 (as shown by Figure 9) for the mentioned days. Consequently, the activity 7 is split in day 13 and activity 10 is split in days 20, 21 and 22. It is observed that in this experiment after using the forward method, none of the working days are reported over-allocated (Figures 17, 19 and 21).



Figure 16. Daily usage of resource 1 throughout the calendar of the project (before using the method)-Experiment 1



Figure 18. Daily usage of resource 2 throughout the calendar of the project (before using the method)-Experiment 1



Figure 20. Daily usage of resource 3 throughout the calendar of the project (before using the method)-Experiment 1



Figure 17. Daily usage of resource 1 throughout the calendar of the project (before using the method)-Experiment 1



Figure 19. Daily usage of resource 2 throughout the calendar of the project (before using the method)-Experiment 1



Figure 21. Daily usage of resource 3 throughout the calendar of the project (before using the method)-Experiment 1

#### 4.2. Experiment 2 (Large Scale)

In this experiment, 30 activities are considered. Number of modes is 4 and number of the limited resources is 2. The network of this experiment is shown by Figure 22. Similar to the previous experiment, rest of the required data are presented by Table 3.



Figure 22. Network diagram for experiment number 2

This experiment is also solved in two modes of relaxed and limited resource constraints. Figure 23 and 24 show the proposed Gantt charts for relaxed and limited resource modes respectively. The calculated upper bound for this experiment is 164 working days. It is observed that the limited resources cause the makespan of the project to be increased from 45 working days in the first mode to 46 working days in the second mode. The Gantt chart in Figure 24 shows that activity 23 and 27 are decided to be taken apart by the algorithm to increase the resource usage.



Figure 23. Gantt chart of the 8th experiment relaxed resource constraints





The cumulative resource usages throughout the project calendar for each of the resources are shown in Figures 25 to 28. As seen for each of the resources, the slope of resource usage graphs after using the proposed forward method is smoothed (Figures 26 and 28). The S-curve of the graphs shows that the schedule is safe to be used.



Figure 25. Cumulative resource usage for resource 1 of experiment 2 (relaxed resource constraint)



Figure 26. Cumulative resource usage for resource 1 of experiment 2 (after using forward method)



Figure 27. Cumulative resource usage for resource 2 of experiment 2 (relaxed resource constraint)



Figure 28. Cumulative resource usage for resource 2 of experiment 2 (after using forward method)

The resource usage through the resource calendar is shown by Figures 29 to 32. The days 17, 18, 19, 20 and 21 are reported as over-allocated working days where the number of available resources is insufficient to complete the activities that are scheduled in these days (Figures 29 and 31). In order to modify the over-allocation in this case, the algorithm decided to take apart activities number 23 and 27 (as shown by Figure 24) for the mentioned days. Consequently, the activity 23 is split in days 19 and 20 and activity 27 is taken apart in days 31 to 36. Similar to other experiments, in this experiment after using the forward method, none of the working days are reported over-allocated (Figures 30 and 32).







Figure 30. Daily usage of resource 1 throughout the calendar of the project (before using the method)-Experiment 2



Figure 31. Daily usage of resource 2 throughout the calendar of the project (before using the method)-Experiment 2



Figure 32. Daily usage of resource 2 throughout the calendar of the project (before using the method)-Experiment 2

#### 4.3. Experiment 3 (Large Scale)

The last experiment is a large scale MRCPSP which contains 50 activities, 4 executing modes and 5 preemptive resources. The network diagram is shown by Figure 33. Rest of the information is shown by Table 4.



Figure 33. Network diagram of experiment number 3

This experiment is also solved in two modes of relaxed and limited resource constraints. Figure 34 and 35 show the proposed Gantt charts for relaxed and limited resource modes respectively. The calculated upper bound for this experiment is 78 working days. It is observed that the limited resources cause the makespan of the project to be increased from 51 working days in the first mode to 63 working days in the second mode. The Gantt chart in Figure 35 shows that activity 12, 13, 46 and 47 are taken apart by the algorithm to increase the resource usage.



Figure 34. Gantt chart of the 10th experiment relaxed resource constraints



Figure 35. Gantt chart of the 10th experiment after using the proposed method

The cumulative resource usages throughout the project calendar for each of the resources are shown in Figures 36 to 45.











Figure 38. Cumulative resource usage for resource 1 of experiment 3 (relaxed resource constraint)



Figure 40. Cumulative resource usage for resource 1 of experiment 3 (relaxed resource constraint)







Figure 39. Cumulative resource usage for resource 1 of experiment 3 (after using forward method)



Figure 41. Cumulative resource usage for resource 1 of experiment 3 (after using forward method)



Figure 43. Cumulative resource usage for resource 1 of experiment 3 (after using forward method)



Figure 44. Cumulative resource usage for resource 1 of experiment 3 (relaxed resource constraint)



Figure 45. Cumulative resource usage for resource 1 of experiment 3 (after using forward method)

The resource usage through the resource calendar is shown by Figures 46 to 55. The days 10 to 38 are mostly reported as over-allocated working days where the number of available resources is insufficient to complete the activities that are scheduled in these days (Figures 46, 48, 50, 52 and 54). In order to modify the over-allocating in this case, the algorithm decided to take apart activity number 46 and 47 (as shown by Figure 35) for the mentioned days. Consequently, the activity 46 is split in days 42 to 47 and activity 47 is split in days 42 to 45 and again in day 48. Similar to other experiments, in this experiment after using the forward method, none of the working days are reported over-allocated (Figures 47, 49, 51, 53 and 55).







Figure 47. Daily usage of resource 1 throughout the calendar of the project (before using the method)-Experiment 3



Figure 48. Daily usage of resource 2 throughout the calendar of the project (before using the method)-Experiment 3



Figure 50. Daily usage of resource 3 throughout the calendar of the project (before using the method)-Experiment 3



Figure 52. Daily usage of resource 4 throughout the calendar of the project (before using the method)-Experiment 3







Figure 49. Daily usage of resource 2 throughout the calendar of the project (before using the method)-Experiment 3







Figure 53. Daily usage of resource 4 throughout the calendar of the project (before using the method)-Experiment 3



Figure 55. Daily usage of resource 5 throughout the calendar of the project (before using the method)-Experiment 3

# 4.4. Solving Experiments Gathered from the Literature

To examine the proposed approach, 10 series of small, medium and large scale examples are designed and solved with 5, 6, 13, 15, 18, 20, 25, 30, 40, 50, 100, 200 and 500 variables. For evaluating the efficiency of proposed model each example is solved under two conditions where all the criteria are considered the same but resource availability. The results, then, checked with results of forward serial programming method (Table 2).

No.	Activity	Resource	Mode	Resources Capacity	Makespan	GA (OFV)	SA (OFV)	Branch and Bound (OFV)	%Gap		Maximum split activities
1	5	3	2	[60 100 300]	16	539.4	539.4	539.4	0.00%	0.357	0
2	5	3	2	[6 10 30]	19	538.7	538.7	538.7	0.00%	0.338	1
3	6	2	2	[130 100]	17	753.7	753.7	753.7	0.00%	0.212	0
4	6	2	2	[13 10]	19	751.3	751.3	751.3	0.00%	0.2109	1
5	13	2	2	[220 300]	30	2014.2	2014.2	2014.2	0.00%	0.218	0
6	13	2	2	[22 30]	32	2013.1	2013.1	2013.1	0.00%	0.217	5
7	15	3	4	[200 200 220]	36	2574.0	2574.0	2574.0	0.00%	0.336	0
8	15	3	4	[20 20 22]	41	2572.3	2572.3	2572.3	0.00%	0.34	1
9	18	3	3	[24 24 28]	27	2998.3	2998.3	2998.3	0.00%	0.334	0
10	18	3	3	[24 24 28]	36	2995.8	2995.8	2995.8	0.00%	0.335	1
11	20	3	4	[220 280 300]	35	3226.0	3232.0	3226.0	0.19%	0.33	0
12	20	3	4	[22 28 30]	42	3223.0	3223.0	3223.0	0.00%	0.334	2
13	25	2	3	[320 200]	52	4004.3	4031.0	4004.3	0.66%	0.178	0
14	25	2	3	[32 20]	52	4003.1	4016.0	4003.1	0.32%	0.221	3
15	30	2	4	[450 400]	45	5021.8	5021.8	5021.8	0.00%	0.222	0
16	30	2	4	[45 40]	45	5019.9	5019.9	5019.9	0.05%	0.226	2
17	40	4	3	[450 400 350]	57	6082.6	6086.4	6082.6	0.06%	0.352	0
18	40	4	3	[45 40 35]	68	6078.8	6082.1	6078.8	0.05%	0.354	6
19	50	5	4	[550 700 600 620 650]	47	8457.3	8458.7	8457.3	0.02%	0.594	0
20	50	5	4	[55 70 60 62 65]	57	8450.7	8450.7	8450.7	0.04%	0.612	6
21	100	10	4	R=[120 130 45 89 64 78 124 220 135 90]	190	1580.7	1584.2	1580.7	0.22%	2.266	0
22	100	10	4	R=[45 40 45 50 64 45 64 45 65 45]	204	1692.5	1692.5	1692.5	0.09%	2.866	23
23	200	20	3	$\begin{array}{c} R{=}[140\;160\;140\\ 220\;160\;150\;110\\ 120\;150\;130\;140\\ 160\;140\;220\;160\\ 150\;110\;120\;150\\ 130] \end{array}$	239	29625	29658	29625	0.11%	3.278	0

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No.	Activity	Resource	Mode	Resources Capacity	Makespan	GA (OFV)	SA (OFV)	Branch and Bound (OFV)	%Gap	CPU time (per seconds)	Maximum split activities
24	200	20	3	$ \begin{array}{c} R{=}[40\;60\;40\;220\\ 160\;50\;110\;120\;50\\ 40\;140\;60\;140\;120\\ 40\;50\;110\;120\;150\\ 130\;220\;160\;150\\ 110\;120\;150\;130] \end{array} $	283	29622	29627	29622	0.03%	4.431	49
25	500	50	5	$ \begin{array}{c} R = [140 \ 160 \ 140 \\ 220 \ 160 \ 150 \ 110 \\ 120 \ 150 \ 130 \ 140 \\ 160 \ 140 \ 220 \ 160 \\ 150 \ 110 \ 120 \ 150 \\ 130 \ 140 \ 160 \ 140 \\ 220 \ 160 \ 150 \ 110 \\ 120 \ 150 \ 130 \ 140 \\ 160 \ 140 \ 220 \ 160 \\ 150 \ 110 \ 120 \ 150 \\ 130 \ 140 \ 160 \ 140 \\ 220 \ 160 \ 150 \ 110 \\ 120 \ 150 \ 110 \\ 120 \ 150 \ 110 \\ 120 \ 150 \ 110 \\ 120 \ 150 \ 130 \\ \end{array} $	217	75990	75990	75990	0.00%	4.998	0
26	500	50	5	$ \begin{split} \mathbf{R} = & \begin{bmatrix} 40 & 60 & 40 & 220 & 60 \\ 50 & 110 & 120 & 50 & 130 \\ 140 & 60 & 140 & 220 & 60 \\ 50 & 110 & 120 & 150 & 130 \\ 140 & 160 & 140 & 220 \\ 160 & 150 & 110 & 120 \\ 150 & 130 & 140 & 160 \\ 140 & 220 & 160 & 150 \\ 110 & 120 & 150 & 130 \\ 140 & 160 & 140 & 220 \\ 160 & 150 & 110 & 120 \\ 150 & 130 \end{bmatrix} $	628	75950	75953.2	75950	0.01%	6.356	72

Table 2. Results of solving experiments derived from the literature

Results that are shown in Table 2 indicate that for small scale problems the algorithm can avoid activity split by postponing activities that are scheduled in over-allocated days. However by increasing the number of activities and complicating the precedence matrix, it is shown that number of times that activities are split to modify over-allocation is increased (Table 2)

# 4.5. Measuring the Completion Time with Makespan Index

In this section in order to evaluate how limited resources influence the makespan of the project, a new index is developed:

$$Makespan. index = 100 * \left(\frac{Makespan \ observed \ by \ forward \ programing \ method}{Makespan \ while \ resource \ limits \ are \ relaxed} - 1\right)$$
(26)

# Figure 56 shows the results of calculating Equation 15 for the experiments.



Figure 56. Makespan index graph for the solved experiments

			Normalian of			Metho	d	
No.	Number of Activities	Number of Resources	Number of execution modes	Resource level	U.B	Normal scheduling	Froward programming method	M.S.I%
1	5	3	2	[6, 10, 30]	23	20	23	15.00
2	6	2	2	[13, 10]	31	19	21	10.53
3	13	2	2	[22, 30]	78	28	35	25.00
4	15	3	4	[20, 20, 22]	90	36	42	16.67
5	18	3	3	[24, 24, 28]	93	32	36	12.50
6	20	3	4	[22, 28, 30]	112	33	40	21.21
7	25	2	3	[32, 20]	136	55	60	9.09
8	30	2	4	[45, 40]	164	45	46	2.22
9	40	3	3	[45, 40, 35]	205	60	70	16.67
10	50	5	4	[55, 70, 60, 62, 65]	275	51	63	23.53

Table 3. Results gained after scheduling experiments

The results in Table 3 shows that although proposed method can avoid over-allocating of activities, but at the same time limited resources can cause delay in makespan in a value between 2.22% and 25%.



Figure 57. Comparing the makespan in normal scheduling and forward serial programming for the solved experiments

Figure 57 shows that in all the studied case the observed makespan are smaller than UB. UB is considered as upper limit for the makespan of a project and any value larger than this can thus be considered as an infeasible solution.

No.	1	2	3	4	5	6	7	8	9	10
Over allocated days (Normal Scheduling)	[3, 3, 0]	[4, 0]	[5, 0]	[7, 8, 7]	[6, 5, 2]	[12, 7, 6]	[4, 11]	[5, 5]	[7, 13, 24]	[22, 15, 21, 22, 17]
Over-allocated days (Forward programming method)	[0, 0, 0]	[0, 0]	[0, 0]	[0, 0, 0]	[0, 0, 0]	[0, 0, 0]	[0, 0]	[0, 0]	[0, 0, 0]	[0, 0, 0, 0, 0]

Table 4. Over-allocated days in normal and modified schedules observed before and after using the method

Table 4 compares the number of over-allocated days of each of the resources through its calendar before and after using the method. The results show that in none of the studied cases the over-allocation is observed (Figure 58).



Figure 58. Comparing number of over allocated days for the solved experiments

Results also indicate that by increasing the discounted rate and the number of activities (dimension of the problem) the angle of slope of the NPV is increased (Figure 59).



Figure 59. Comparing the effects of alpha rate and number of activities in increasing NPV

# 5. Verification the Proposed Method

In this section the proposed scheduling method is applied for 2 case studies. The first case study is constructing a hospital. The list of activities is shown by Table 5:

ID	Activity	Duration	ID	Activity	Duration
1	Shop Preparedness and Mobilization	7	30	Installing Gas Supply System	2
2	Foundation	21	31	Installing Cable Trunk	5
3	Structure	40	32	Install Cable Tray	5
4	Flooring	10	33	Cabling	7
5	Trench	2	34	Installing Lightning Cables	5
6	Wall Erection	12	35	Installing Lightning Lamps	2
7	Roofing	5	36	Installing of Electrical Panels	7
8	Window Frames	3	37	Cable Connecting for Electrical Panel	2
9	Door Frames	3	38	Installing of Plugs & Sockets	2
10	Fire Box Frames	1	39	Connect Cables and Pipes of Chillers	1
11	Installing False Ceiling Structure	10	40	Installing CCTVs	2
12	Roof Insulation	2	41	Installing Nurse Call System	2
13	Installing False Ceiling	10	42	Installing Paging System	2
14	Rain Water Piping	3	43	Windows	3
15	Install Piping Tray	4	44	Wooden Doors	2
16	Water Piping	5	45	Plastering	7
17	Return Piping	5	46	Tilling	2
18	Drainage Piping	5	47	Operating Rooms Tilling	2
19	Floor Drains	1	48	Installing Ceramics	4
20	Installing Supports for Ducts	10	49	Painting	4
21	Installing Ducts	7	50	Installing Wooden Works	2
22	Installing Coverage for Ducts	7	51	Installing Radiology Door	1
23	FCU(Duct)	6	52	Locker Room Preparation	2
24	FCU (Cold, Hot and Return Water Piping)	3	53	Installing Hospital Beds	1
25	FCU (Drainage Piping)	4	54	Sliding Door	2
26	FCU (Machine)	2	55	Installing Surgical Beds	1
27	FCU (Grille)	2	56	Area	30
28	Structure of Chillers	2	57	Building Facades	30
29	Install Chillers	4	58	Testing And Site Delivery	2

Table 5. List of Activities for Constructing a Hospital
The Table 6 shows the precedence network between activities:



Table 6. The Activity Precedence Matrix

And finally Table 7 shows the resource usage of activities:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Activity ID	Civil	Mechanical	Electrical	Pipe	Electrical Technician	Mechanical	Civil	Welder	Painter		Blacksmith	Civil	Mechanical	Electrical	Mason
1	Worker 1	Worker 1	Worker 1	man	1 echnician	Technician 1	1 echnician					Engineer 1	Engineer 1	Engineer 1	
2	5	-	-		-	-	1					1	-	-	
3	5						1					1			
4	4						1					1			
5	1						1								
6	5						1					1			1
7	4						1					1			1
8	2										1				
9	2										1				
10	2 4						1	2			1	1			
11	4						1	2			1	1			1
12	4						1	2			1	1			1
14		2		2		1		-				-	1		
15		4		2		1		2					1		
16		4		2		1							1		
17		4		2		1							1		
18		2		1		1							1		
19		2		1											
20		4				1							1		
21		4				1							1		
22		2				1									
23		2				1							1		
24		4				1							1		
25		1				1							1		
26		2				1							1		
27 28		1				1		1					1		
28		2				1		1					1		
30		2				1							1		
31			4		1	-		1					-	1	
32			4		1			1						1	
33			2		1									1	
34			2		1									1	
35			2		1									1	
36			2		1									1	
37			2		1									1	
38			2		1									1	
39			2		1									1	
40			2		1									1	
41			2		1									1	
42	2		2		1		1					1		1	
43	2						1			2		1			
44	4						1			4		1			2
45	4						1					1			2
40	2						1					1			1
48	4						1					1			2
49							1		2			1			
50	2						1			2		1			
51	2						1			1		1			
52	2						1					1			
53	2						1					1			
54	2						1					1			
55	2						1					1			
56	2						1					1			1
57	4						1					1			1
58					1	1	1					1	1	1	

Table 7. Resource Usage of Activities

And finally Table 8 shows the maximum available resource:

Resource	Civil Worker	Mechanical Worker				Mechanical Technician		Welder	Painter	Carpenter	Blacksmith	Civil Engineer	Mechanical Engineer		Mason
Maximum Level	<sup>1</sup> 800%	800%	800%	200%	200%	200%	200%	200%	200%	100%	200%	100%	100%	100%	200%

Table 8. Maximum Available Resource

In the first step we schedule the problem using Microsoft Office Project<sup>®</sup> 2010 (MSP 2010). As expected the project is unacceptable since most of the resources are over-allocated (Figure 60).

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		0	Resource Name	Туре	Material Label	Initials	Group	Max. Units	Std. Rate	Ovt. Rate	Cost/Use	Accrue At	Base Calenda
	1	•	Civil Worker	Work	material Caber	C	c	600%	\$0.00/hr	\$0.00/hr		Prorated	Standard
	2	۰ ۱	Mechanical Worker	Work		M	m	600%	\$0.00/hr	\$0.00/hr		Prorated	Standard
alendar	3	\v €	Electerical Worker	Work		F	e	600%	\$0.00/hr	\$0.00/hr		Prorated	Standard
-	4	۰ ۱	Pipe man	Work		P	m	300%	\$0.00/hr	\$0.00/hr		Prorated	Standard
	5	×	Electrical Technician	Work		F	e	600%	\$0.00/hr	\$0.00/hr		Prorated	Standard
Gantt	6	•	Mechanical Technician	Work		M	m	300%	\$0.00/hr	\$0.00/hr		Prorated	Standard
Chart	7	٠ ١	Civil Technician	Work		c	с.	300%	\$0.00/hr	\$0.00/hr		Prorated	Standard
-18	8	~	Welder	Work		w	c	400%	\$0.00/hr	\$0.00/hr		Prorated	Standard
	9		Painter	Work		P	c	300%	\$0.00/hr	\$0.00/hr		Prorated	Standard
letwork	10	-	Carpenter	Work		c.	c	400%	\$0.00/hr	\$0.00/hr		Prorated	Standard
)iagram	11	-	Blacksmith	Work		в	c	300%	\$0.00/hr	\$0.00/hr		Prorated	Standard
3	12	٠	Civil Engineer	Work		c	c	400%	\$0.00/hr	\$0.00/hr		Prorated	Standard
_	13	۰ ۱	Mechanical Engineer	Work		M	m	400%	\$0.00/hr	\$0.00/hr		Prorated	Standard
sk Usage	14	۰ ۱	Electrical Engineer	Work		F	e	200%	\$0.00/hr	\$0.00/hr		Prorated	Standard
	15	٠ ١	Mason	Work		M	c	300%	\$0.00/hr	\$0.00/hr		Prorated	Standard
		Ť					-	30070	40.00mm	40.00mm	40.00	ateu	
Tracking	_	-											

Figure 60. Resource sheet of MSP 2010

The Gantt chart of the resource over allocated schedule is shown by Figure 61 and 62:

Tas	k Name	Duration	Start	Finish	6 Jul 16	Aug '16	Sep '16	Oct 16 Nov 25 2 9 16 23 30 6
	nstructing a Hotel	146 days		Mon 11/21/16		1.12.1011711		2012101.0120100101
	Start	0 days	Wed 6/29/16	Wed 6/29/16		i	1	
3	Shop preparedness and mobilization	7 days	Wed 6/29/16	Tue 7/5/16				
	Foundation	21 days	Wed 7/6/16	Tue 7/26/16				
5	Structure	40 days	Wed 7/27/16	Sun 9/4/16				
	Civil	70 days	Mon 9/5/16	Sun 11/13/16				
	Flooring	10 days	Mon 9/5/16	Wed 9/14/16	i <b>I</b> II			
	Trench	2 days	Tue 9/6/16	Wed 9/7/16				
	Wall erection	12 days	Fri 9/16/16	Tue 9/27/16	i <b>I</b> II			
	Roofing	5 days	Wed 9/28/16	Sun 10/2/16	i <b>I</b> II			
Ť	Window frames	3 days	Wed 9/28/16	Eri 9/30/16		1		
2	Door Frames	3 days	Wed 9/28/16	Eri 9/30/16	i <b>I</b> II			
	Fire box Frames	2 days	Eri 10/7/16	Sun 10/9/16	i 🚺			
ž –	Installing False Ceiling Structure	10 days	Mon 10/3/16	Wed 10/12/16		1		
	Roof Insulation	2 days	Thu 10/13/16	Fri 10/14/16	1 11	i	i	
	Installing False Ceiling	10 days	Fri 11/4/16	Sun 11/13/16		1		II 🗂 II 👝
	Mechanical	71 days	Thu 9/8/16	Thu 11/17/16				
	Rain Water Piping	3 days	Sat 10/15/16	Mon 10/17/16		1		
3	Install piping trav	3 days	Thu 10/13/16	Sun 10/16/16		1		
				Eri 10/21/16	i <b>i</b> ll	1		
	Water piping	5 days	Mon 10/17/16	Wed 10/26/16	( <b>!</b> !!			
	Return Piping	5 days	Sat 10/22/16 Thu 10/27/16	Mon 10/31/16	i II			
	Drainage piping	5 days		Mon 10/31/16 Thu 9/8/16			<b>*</b>	
	Floor drains Installing supports for ducts	1 day	Thu 9/8/16 Thu 10/13/16			1		
		10 days		Sat 10/22/16	i <b>I</b> II	1		
5	Installing ducts	7 days	Sun 10/23/16	Sat 10/29/16	i <b>I</b> II			
6	Installing coverage for ducts	7 days	Mon 10/24/16	Sun 10/30/16	1			
<u> </u>	FCU(duct)	6 days	Thu 10/13/16	Tue 10/18/16		i		il 🗰 ill
	FCU (cold hot and return water piping)	3 days	Wed 10/19/16	Fri 10/21/16				H <b>⊖</b>
	FCU (Drainage piping)	4 days	Wed 10/19/16	Sat 10/22/16	i <b>I</b> II			
<b>1</b>	FCU (machine)	2 days	Sun 10/23/16	Mon 10/24/16				
	FCU (grille)	2 days	Tue 10/25/16	Wed 10/26/16	1			
	Structure of Chillers	2 days	Sat 10/15/16	Sun 10/16/16	i <b>i</b>	1		Ha⊷ III
	Install Chillers	4 days	Mon 10/17/16	Thu 10/20/16				
	Installing Anaesthesia Gas Supply System	2 days	Wed 11/16/16	Thu 11/17/16		1		
	Electrical	38 days	Thu 10/13/16	Sat 11/19/16	í <b>I</b> II			
	Installing cable trunk	5 days	Thu 10/13/16	Mon 10/17/16				
	Install cable tray	5 days	Tue 10/18/16	Sat 10/22/16	í <b>1</b> 11	1		
	Cabling	7 days	Sun 10/23/16	Sat 10/29/16	i <b>i</b> ll			
	linstalling lightning cables	5 days	Sun 10/30/16	Thu 11/3/16				
<b>_</b>	Installing lightning lamps	2 days	Fri 11/11/16	Sat 11/12/16		i	i	
1 1	Installing of Electrical panels	7 days	Fri 11/11/16	Thu 11/17/16		1		
2 1	Cable connecting for Electrical panel	2 days	Fri 11/18/16	Sat 11/19/16	( 1)	1		
3	Installing of plugs & sockets	2 days	Fri 11/11/16	Sat 11/12/16	1 1			
	Connect cables and pipes of chillers	1 day	Sun 10/30/16	Sun 10/30/16		1		
	Installing CCTVs	2 days	Thu 11/17/16	Fri 11/18/16		1		
	Installing Nurse call system	2 days	Thu 11/17/16	Fri 11/18/16				1 1 11
	Installing Paging system	2 days	Thu 11/17/16	Fri 11/18/16		1		
	Finishing	50 days	Sat 10/1/16	Sat 11/19/16				
	T III STIII Y	JU days	Jac Turli 16	3ac 11/13/16				<b>T</b>

Figure 61. Gantt Chart of MSP 2010 for case study (Continued)

ID	Task Name	Duration	Start	Finish	6	Lui	1'16	_	Aug '16	Sep	'16	10d	116		I Nov	'16		5
		Durubbii			12 19 2									16 23			20127	ŕ
49	Windows	3 days	Sat 10/1/16			1		-				<u></u>	-					٦
50	Wooden Doors	4 days	Sat 10/1/16	Tue 10/4/16		11		- 1		1					iЩ			
51	Plastering	7 days	Fri 11/4/16															
52	Tiling	2 days														111		
53	Operating Rooms Tilling	2 days								1		1			1	1024		
54	Installing Ceramics	4 days	Mon 11/14/16															
55	Painting	4 days																
56	Installing wooden works	2 days								1					1	<b>a</b>		
57	Installing Radiology Door	1 day	Thu 11/17/16															
58	locker room prepration	2 days	Thu 11/17/16															
59	Installing hospital beds	1 day	Thu 11/17/16									1				11		
60	Sliding door	2 days	Thu 11/17/16															
61	Installing surgerical beds	1 day																
62	Area	30 days	Sat 10/15/16			11		- 1		1			- 4					
63	Building facades	30 days				11											L	
64	Testing and site delivery	2 days														_		
65	End	0 days	Mon 11/21/16	Mon 11/21/16		11											F	

Figure 62. Gantt Chart of MSP 2010 for case study

In continue the case study is solved by the proposed solving algorithm while all resources constraint are considered relaxed. The same results are completely in accordance with the results gained by the MSP 2010 (Figure 63):



Figure 63. Results of solving the case study by the proposed method while Resource constraints are relaxed

In continue the proposed algorithm is used to modify the over-allocated schedule. The results show that the modified schedule is not suffered by any over allocated resource so it is trustable and can be used for constructing phase.

Table 9 compares the information of the activities before and after using the proposed method.

	MSP 2010	Classic Branch and Bound	Proposed Algorithm
Number of Activities	58	58	58
Number of Resources	15	15	15
Number of over allocated resources	10	10	0
Number of Split Times	0	0	5
Makespan	146	146	204
NPV	-	77564\$	77560\$

Table 9. Comparing results of scheduling the hospital unig MSP, Classic Branch and Bound and the proposed algorithm

Figures 64 and 65 show the Gantt chart of the modified scheduled that is achieved by the proposed solving method.



Figure 64. The modified Gantt chart for the Hospital (Continued)



Figure 65. The modified Gantt chart for the Hospital

Results show that the proposed algorithm can successfully modified the over-allocated resources in a reasonable computing time.

#### 6. Conclusion

Scheduling multi-mode resource constraint project scheduling problems while preemptive resources are exists are a big concern in project management. In this research the over-allocated project schedules with preemptive resources are rescheduled using genetic algorithm. By presenting a dynamic forward approach, an appropriate and logical solution is boosted and it is observed that the proposed method can modify over-allocated MRCPSPs schedules by taking apart less important activities and keeping more important activities. It is also found that using the proposed procedure has caused noticeable rise in remaining resources usage during life the project implementation. Further expansion of the research by considering negative cash flows is suggested.

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#### Appendix

Precedence Matrix for Experiments number 1 and 2 in Table 3

	1	2	3	4	5
1	-	-	-	-	-
2	FS(1)+2	-	-	-	-
3	SS(1)	FS(2)	-	-	-
4	-	FS(2)	SS(3)+1	-	-
5	-	-	-	SF(4)	-

Precedence Matrix for Experiments number 3 and 4 in Table 3

	1	2	3	4	5	6
1						
2	FS(1)					
3	FS(1)+2					
4			SF(3)			
5			FS(3)+1			
6				FS(4)+2	FF(5)	

Precedence Matrix	for Experiments	number 5 and 6 in Table 3

	1	2	3	4	5	6	7	8	9	10	11	12	13
1													
2	FS(1)+1												
3	FS(1)												
4	FS(1)												
5		SS(2)+1											
6		FS(2)+1											
7			FF(3)+1										
8				FS(4)+1									
9				FS(4)+1									
10					FS(5)	FS(6)							
11							SS(7)+1						
12								FS(8)+1	FS(9)+1				
13										FS(10)	FS(11)	FS(12)	

## Precedence Matrix for Experiments number 7 and 8 in Table 3

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1															
2	FS(1)														
3	FS(1)+1														
4	FS(1)														
5		FS(2)+1													
6		FS(2)+1													
7			FS(3)												
8			FF(3)+1												
9				FS(4)+2	FS(5)										
10				SS(4)+1											
11						FS(6)+1	FS(7)								
12							FS(7)+1	SS(8)+1							
13									FF(9)+1	FF(10 )					
14											SS(11)	FS(12)+1			
15													FS(13)	FS(14)+1	

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1																		
2	FS(1)																	
3	FS(1)+1																	
4		FS(2)+2																
5		FS(2)																
6			SS(3)+1															
7			FS(3)															
8				FF(4)+1														
9					FS(5)													
10						FS(6)+1												
11							SF(7)											
12								FS(8)+1										
13								FF(8)										
14									SS(9)									
15										SS(10)								
16											FS	6(11)+2						
17											SF	(11)+1						
18												FS(12)+2	SS(13)+1	FS(14)+1	FS(15)+1	FS(16)+1	FS(1	7)+1

## Precedence Matrix for Experiments number 9 and 10 in Table 3

Precedence Matrix for Experiments number 11 and 12 in Table 3

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1																				
2	FS(1)																			
3	FS(1)																			
4	FS(1)																			
5		FS(2)																		
6		FS(2)																		
7			SS(3)																	
8				FF(4)																
9				FS(4)																
10					FS(5)															
11						SS(6)														
12						FS(6)														
13							FS(7)													
14								SS(8)+2												
15									SF(9)+3											
16									FS(9)+2											
17										FS(10)+2	FS(11)+1									
18												SS(12)+3	FS(13)+1							
19														FS(14)+4	FS(15)+1	FF(16)+3				
20																	FS(17)+2	FS(18)+1	FS(19)+1	

# Precedence Matrix for Experiments number 13 and 14 in Table 3

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
1																								
2 FS(	l)																							
3 FS(	l)																						<u> </u>	
4	FS(2)+	1																					L	
5	SS(2)																						Ļ	
6		FS(3)+1																					L	
7		SF(3)+1																					L	
8		FS(3)																						
9			SS(4)+1																				<u> </u>	
10				FF(5)+2																			L	L
11					FS(6)																			L
12						FS(7)																		
13							SS(8)+1																<u> </u>	
14								FS(9)	FS(10)															
15										FS(11)	FS(12)	FS(13)+1											<u> </u>	<u> </u>
16													FS(14)	FS(15)+1	-									
17															SS(16)+1		1						<u> </u>	
18															FS(16)								<u> </u>	
19																FF(17)+1							<u> </u>	_
20																	FS(18)							-
21																	SF(18)+1							-
22																		FS(19)+1	-					-
23																			FS(20)					
24																				FS(21)			<u> </u>	-
25																					FS(22)	FS(23)	FS(24)	

# Precedence Matrix for Experiments number 15 and 16 in Table 3

1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29 30
2 F	7S 1)																												
3 F	7S 1)																												
	7S 1)																												
5 F (1)	7S +1																												
6		FS (2)																											
7		FS (2)+1																											
8			SS (3)																										
9 10	_			FS (4)+1 FF																									
10	_			(4)	FS																								
12	_				(5)+1	SF																							
13	-					(6)+1 FS																							
14	_					(6)	ss																						
15							(7)+1	FF (8)																					
16								FS (8)+1																					
17									FS (9)																				
18										SS (10)+1																			
19										FS (10)																			
20											SS (11)+1																		
21												FS (12)	FS (13)+2																
22															FF (15)+1	0E													
23 24	_															SF (16)+2		FS											
24	_																(17)	FS (18)+3		FS									
26	-													FS					FS (19)	FS (20)	FS								
27	+													(14)							FS (21)	FS	FS						
28	+																					(22)	(23)	SS	FS (25)+1				
29	+																							(24)	(23)+1	FS (26)	FS (27)		
30	1																									()	()	FS (28)	FS (29)

Precedence Matrix for Experiments number 17 and 18 in Table 3



### Precedence Matrix for Experiments number 19 and 20 in Table 3



In order to receive precedence matrices for experiments with 100, 200, 500 and 1000 activities, please send an email to the corresponding author.

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